



Exhibit A-3: General Information/Compliance

*Attach as **Exhibit A-3**, a narrative summary of Applicant's proposed clearing activities including (i) the anticipated start date of clearing products (or, if Applicant is already clearing products, the anticipated start date of activities for which Applicant is seeking an amendment to its registration), and (ii) a description of the scope of Applicant's proposed clearing activities (e.g., clearing for a designated contract market; clearing for a swap execution facility; clearing bilaterally executed products).*

Next Gen Clearing, LLC Response:

Next Gen Clearing, LLC (“Next Gen Clearing,” “Clearinghouse,” or “Applicant”) is organized to, if approved by the CFTC, operate as a derivatives clearing organization (“DCO”). Next Gen Clearing initially intends for its clearing activities to be limited to clearing contracts that are traded on the 365Prediction, LLC (“Exchange”) designated contract market (“DCM”). The Applicant may also enter into arrangements to provide clearing services to third-party DCMs or SEFs that offer products eligible for clearing under Next Gen Clearing’s rules.

Next Gen Clearing proposes to offer clearing and settlement services directly to market participants clearing for their proprietary accounts as well as to futures commission merchant clearing members acting on behalf of customers.

The anticipated start date of clearing products will be upon the later of the approval of both the Exchange as a DCM and the Applicant as a DCO. The Applicant requests that its Registration Order not be conditioned on clearing solely for the Exchange.